

**PATENT** 

Docket No.: GOL5121,11A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; Applicants:

ZVULUN HALFON; SCOTT JOHNSON; RONALD KEWISH; JASON

Serial No.:

McNAMARA; RICHARD WILSON 09/796,933 798, 235

Filed:

**NÓVEMBER 7, 2000** 

For:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group No.:

Examiner:

RECEIVED

JUN 22 2001

**Box Missing Parts Assistant Commissioner for Patents** Washington, D.C. 20231

OFFICE OF PETITIONS **DEPUTY A/C PATENTS** 

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

John P. O'Bapion, Reg. No. 23,20

**Date of Signature** 

### PETITION FOR WHEN AN INVENTOR REFUSES TO SIGN 37 CFR 1.47(a)

Dear Sir:

The following inventors were presented with the application and application papers and have refused to sign the oath or declaration by conduct (see statements of facts in support of filing on behalf of nonsigning inventors).

Name:

**ERGON COPELAND** 

Address:

5520 OWENSMOUTH AVENUE #116

WOODLAND HILLS, CA 91367

Name: Address: ANTHONY FRANCO 1346 VIA DEL RIO

**CORONA, CA 91720** 

Name:

**ZVULUN HALFON** 

Address:

22710 OXNARD STREET

WOODLAND HILLS, CA 91367

Name:

**SCOTT JOHNSON** 

Address:

717 33<sup>RD</sup> STREET #304

SAN PEDRO, CA 90371

Name:

**RONALD KEWISH** 

Address:

4420 FOOTHILLS DRIVE

LOVELAND, CO 80537

Name:

**RICHARD WILSON** 

Address:

4481 RIBBON COURT

LOVELAND, CO 80537

Enclosed please find check in the amount of \$130.00 petition fee, under 37 CFR § 1.17(h).

Please charge any fee deficiency to Deposit Account No. 07-1137.

S/N 09/796,933 Page 2

Date: 6/18/01

Respectfully submitted,

John P. O'Banion, Reg. No. 33,201

O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550

Sacramento, CA 95814

(916) 498-1010





From:

John O'Banion (916) 498-1010 O'BANION & RITCHEY LLP 400 Capitol Mall 1550 Sacramento, CA 95814 To:

Raphael Moore, Esq. (530) 758-8317 DYNAMICS DIRECT, INC. 519 F Street Davis, CA 95616 COD Return Address:

Date: 11DEC00

Track Number: 791918968284 Service: Standard Overnight Packaging: FedEx Letter Special Handling: Drop

Piece: 1 of 1 Weight: 1 LBS Dimensions: N/A

Declared Value: \$50.00 Deliver without Signature: No

Document Shipment: N/A Commodities: N/A Total Customs Value: N/A Currency: N/A

Countries of MFG: N/A

Export License:N/A
Expire:N/A

License Exception Symbol:N/A ECCN:N/A

Ultimate Destination: N/A

Billing: Bill Sender

Bill To Acct: 117199371 Rate Quote: \$10.52 Reference: GOL5121.11A

COD Shipment: No COD Amount: N/A Secured Check: N/A Include Freight: N/A

# **EXHIBIT A**

### TERMS AND CONDITIONS

For complete terms and conditions see the FedEx Ship License

Agreement to Terms. By giving FedEx Your shipment, You agree to be bound by the terms and conditions specified in this document, the FedEx Service Guide and the FedEx Ship License You previously executed, all of which are incorporated herein by reference, for carriage of the shipment via FedEx delivery services to destinations located outside the United States. If there is a conflict between this document Conditions will control, as applicable.

Customs Clearance. You hereby appoint FedEx as Your agent solely for the performance of customs clearance and certify FedEx as the nominal consignee for the purpose of designating a customs broker to confirmation, where required.

It is Your responsibility to provide proper documentation and

You are responsible for and warrant compliance with all applicable laws, rules and regulations, including but not limited to, customs laws, import and export laws and government regulations of any country to, from, through or over which your shipment may be carried. You agree to furnish such information and complete and attach to this shipment such documents, or submit shipment data to FedEx, as necessary to comply with such laws, rules, and regulations. FedEx assumes no liability to You or any other person for any loss or expense due to Your failure to comply with this provision.

Letter of Instruction. If You do not complete all the documents required for carriage or if the documents submitted are not appropriate for the services or destination requested. You hereby instruct FedEx, where stipment and FedEx completes that document, the terms of the FedEx Ship License and this document will continue to govern. FedEx is not liable to You or any other person for FedEx's actions on Your behalf

Export Control. You authorize FedEx to act as forwarding agent for You for export and customs purposes. You hereby certify that all statements and information contained on all air waybills and SEDs relating to exponation are true and correct. You further certify that all Commercial Invoice information submitted via FedEx Ship is true and correct. You expressly authorize FedEx to forward all information of any nature regarding any shipment to any and all governmental or regulatory agencies which request or require such information. You acknowledge that civil and criminal penalties, including forfeiture and sale may be imposed for making false or fraudulent statements or for the violation of any united States laws on exportation, including but not limited to, 13 U.S.C. § 305; 22 U.S.C. § 401; 38 U.S.C. § 1001; and 50 U.S.C. App. 2410. You acknowledge that this shipment is not being sent to any entity listed on the Department of Commerce's Denied Parties List 15 C.F.R. Part 764, Supp. 2, or the list of Special Designated Nationals as published by the Office of Foreign Assets Control of the U.S. Department of the Treasury.

Items Not Acceptable for Transportation. FedEx will not accept certain items for carriage, and other items may be accepted for carriage only to limited destinations or under restricted conditions. FedEx reserves the right to reject packages based upon these limitations or for reasons of safety or security. You may consult the FedEx Service Guide or Standard Conditions of Carriage for specific details.

INTELLECTUAL PROPERTY ATTORNEYS

Wells Fargo Center 400 Capitol Mall, Suite 1550 Sacramento, California 95814 Tel. (916) 498-1010 Fax (916) 498-1074 Of Counsel: LaRiviere, Grubman & Payne LLP

John P. O'Banion
Patent Attorney

E-Mail jpo@intellectual.com

Internet www.intellectual.com

December 11, 2000

Raphael Moore, Esq.
DYNAMICS DIRECT, INC.
Phoenix House
519 F. Street
Davis, California 95616

# **EXHIBIT B**

### VIA FEDERAL EXPRESS

Re:

U.S. Patent Application

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Inventors:

Kevin Calloway; Ergon Copeland; Anthony Franco; Zvulun

Halfon; Scott Johnson; Ronald Kewish; Jason McNamara; Richard

Wilson

Ser. No.:

09/702,835

(Priority to Provisional USSN 60/197,759)

Filed:

November 7, 2000

Our File:

GOL5121.11A

Dear Mr. Moore:

### **URGENT - TIME SENSITIVE TRANSMITTAL**

In order to complete the formal filing requirements for this patent application, there are a number of documents which now need to be executed by the inventors and submitted to the United States Patent and Trademark Office.

- 1. Combined Declaration and Power of Attorney;
- 2. Verified Statement Claiming Small Entity Status Inventor;

December 11, 2000 Page 2

- 3. Notice to Inventors Regarding Assignment;
- 4. Assignment.

Please note that the Combined Declaration and Power of Attorney requires each inventor to certify that he has read the patent application, and believes that he is an inventor of the subject matter of the application. Therefore, please make sure that the inventors review the application completely prior to signing these documents.

The following documents need to be signed by an officer of the company:

- 5. Verified Statement Claiming Small Entity Status Small Business Concern;
- 6. Power of Attorney/Exclusion of Assignee;
- 7. Assignee Certification Under 37 C.F.R. 3.73(b).

# PLEASE MAKE SURE THAT THESE DOCUMENTS ARE DATED AFTER THE INVENTORS SIGN THE ASSIGNMENT.

At the time that these documents are returned to us, we will also need two checks as follows:

Payee:

Commissioner of Patents and Trademarks.

Amount:

\$950.00

Payee:

Commission of Patents and Trademarks

Amount:

\$40.00

In the event that any of the inventors discover an error in the application of a minor or typographical nature in the application, the correction of which would be obvious, inherent or implied from the remainder of the application, please bring them to my attention. I can then file a Preliminary Amendment to the application to make the necessary changes. Please note, however, that we are not able to add new matter to the application. Therefore, if the invention is improved or new techniques are developed, it will be necessary for a Continuation-in-Part application to be filed.

Lastly, the inventor and the patent attorney are required to disclose information to the United States Patent and Trademark Office which could be considered material to the determination of issuing a patent. This includes publications, patents, and other literature. If any such information exists, including prior business activities, please provide that information to me

December 11, 2000 Page 3

as soon as possible. Failure to disclosure information which is considered material to examination of the patent application could invalidate a patent. Additionally, please provide copies of all publications that are identified as background art in the patent application.

Thank you again for your assistance with the preparation of this application, and please let me know if you have any questions.

Best regards.

Sincerely,

O'BANION & BLECHEY LLP

Enclosure

#### **PATENT**

Applicant:

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON;

SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON

Serial No.:

09/708,235

Filed:

NOVEMBER 7, 2000

Title:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group:

Examiner:

Docket No.

GOL5121.11A

### COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, SUPPLEMENTAL, NATIONAL STAGE OF PCT, DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

### TYPE OF DECLARATION

This declaration	า is	of	the	following	type:
------------------	------	----	-----	-----------	-------

<b>V</b>		
Х	original (re	oular)

\_ design

\_ supplemental-continuation of PCT Application in the U.S.

#### NOTE:

If the declaration is for an International Application being filed as a divisional, continuation, or continuation-in-part, do not check the next item; check appropriate one of last three items.

nationa	l stage	of	PC	Т
---------	---------	----	----	---

\_\_ divisional

\_ continuation

\_ continuation-in-part

### INVENTORSHIP IDENTIFICATION

WARNING:

If the inventors are each not the inventors of all of the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention described in

## **EXHIBIT C**

			•	
	SPECIFICATION	IDENTIFICATION		
[ ] the specification filed herewith by the above-named inventors, with the title listed above.				
[ ] the specification filed h	nerewith by the above-name	ed inventors, with the title li	sted above, and which	
was amended by the F	Preliminary Amendment file	d herewith.		
[ ] the specification identif	fied above, as amended by	the Preliminary Amendme	nt filed herewith.	
[X] the specification identi	fied above, and which was	amended on N/A		
		filed on	· · · · · · · · · · · · · · · · · · ·	
	on (if ap			
ACKNOWL	EDGMENT OF REVIEW O	F PAPERS AND DUTY O	F CANDOR	
I hereby state that I have r	eviewed and understand th	ne contents of the above-ide	entified specification,	
including the claims, as ar	nended by any amendmen	referred to above.		
I acknowledge the duty to 1.56.	disclose information which	is material to patentability a	as defined in 37 C.F.R. §	
	PRIORITY CLAIM (3	5 U.S.C. § 119(a)-(d))		
I hereby claim foreign prio	rity benefits under 35 U.S.0	C. §§ 119(a)-(d) of any fore	ign application(s) for	
patent or inventor's certific	cate or under 35 U.S.C. § 3	65(b) of any PCT internation	nal application(s)	
designating at least one co	ountry other than the United	d States of America listed b	elow, and have also	
identified below any foreig	n application(s) or inventor	's certificate or any PCT int	ernational application(s)	
designating at least one c	ountry other than the United	d States of America filed by	me on the same subject	
matter having a filing date	before that of the application	on(s) of which priority is cla	imed.	
<u>X</u> n	o such applications have b	een filed.		
_ s	uch application have been	filed as follows.		
		12 MONTHS (6 MONTHS FOR I CLAIMS UNDER 35 U.S.C. § 119		
COUNTRY (OR	APPLICATION	FILING DATE	PRIORITY CLAIMED	
INDICATE IF PCT)	NUMBER	(day, month, year)	UNDER 37 U.S.C. § 119	
			YESNO	
1	1	T.	1 VLL 1 N/7	

NO

NO

\_\_ YES

YES

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional applications (s) listed below:

PROVISIONAL APPLICATION SERIAL NUMBER	FILING DATE OF PROVISIONAL APPLICATION
60/197,759	APRIL 17, 2000

# CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS UNDER 35 U.S.C. 120

(complete this part only if this is a divisional, continuation, CIP or national stage of PCT)

I/We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability under 37 C.F.R.§ 1.56, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

# PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

### 1. U.S. Applications:

	FILING DATE		
ing The U.S.			
PCT FILING DATE	U.S. SERIAL NUMBER		
-1			
	PCT FILING DATE		

### **POWER OF ATTORNEY**

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

John P. O'BanionRegistration No. 33,201James M. RitcheyRegistration No. 32,594Steven L. SmithRegistration No. 44,343Rodger H. RastRegistration No. 45,853

Attached as part of this declaration and power of attorney is the authorization of the above-named attorney to accept and follow instructions from my representative(s).

### SEND CORRESPONDENCE TO:

### DIRECT TELEPHONE CALLS TO:

John P. O'Banion O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814

John P. O'Banion (916) 498-1010

### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### SIGNATURE(S)

Full name of sole or first inventor:		KEVIN CALLOWAY		
Inventor's Signature:				
Date:		Country of Citizenship:	UNITED STATES	
Residence:	LOS ANGELES	, CALIFORNIA 90048		
P.O. Address:	6115 ORANGE	STREET, #1		

LOS ANGELES, CALIFORNIA 90048

# CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION

X	Signature for second and subsequent joint inventors.  Number of pages added3
_	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.  Number of pages added
_	Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47.  Number of pages added
<del>-</del>	Signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time under 37 CFR 1.47.  Number of pages added
	Authorization of attorney(s) to accept and follow instructions from representative.
	X This Declaration ends with this page.

Applicant: KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON; SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON Serial No.: 09/708,235 Filed: NOVEMBER 7, 2000 Title: MULTIMEDIA MESSAGING METHOD AND SYSTEM Group: Examiner: Docket No. GOL5121.11A Full name of second joint inventor, if any: ERGON COPELAND Inventor's Signature: Country of Citizenship: UNITED STATES Date: WOODLAND HILLS, CALIFORNIA 91367 Residence: P.O. Address: 5520 OWENSMOUTH AVENUE, #116 WOODLAND HILLS, CALIFORNIA 91367 Full name of third joint inventor, if any: ANTHONY FRANCO Inventor's Signature: Country of Citizenship: UNITED STATES Date: Residence: CORONA, CALIFORNIA 91720 P.O. Address: 1346 VIA DEL RIO CORONA, CALIFORNIA 91720 Full name of fourth joint inventor, if any: **ZVULUN HALFON** Inventor's Signature: Date: Country of Citizenship: UNITED STATES Residence: WOODLAND HILLS, CALIFORNIA 91367 P.O. Address: 22710 OXNARD STREET

WOODLAND HILLS, CALIFORNIA 91367

Applicant: KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON; SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON Serial No.: 09/708,235 Filed: NOVEMBER 7, 2000 Title: MULTIMEDIA MESSAGING METHOD AND SYSTEM Group: Examiner: Docket No. GOL5121.11A Full name of fifth joint inventor, if any: SCOTT JOHNSON Inventor's Signature: \_\_\_\_\_ Country of Citizenship: UNITED STATES SAN PEDRO, CALIFORNIA 90371 Residence: P.O. Address: 717 33<sup>RD</sup> STREET, #304 SAN PEDRO, CALIFORNIA 90371 Full name of sixth joint inventor, if any: RONALD KEWISH Inventor's Signature: Country of Citizenship: UNITED STATES Date: Residence: SIMI VALLEY, CALIFORNIA 93065 P.O. Address: 1334 NORTH PARKHURST STREET SIMI VALLEY, CALIFORNIA 93065 Full name of seventh joint inventor, if any: JASON McNAMARA Inventor's Signature: Country of Citizenship: UNITED STATES SANTA MONICA, CALIFORNIA 90402 Residence: 473 16<sup>TH</sup> STREET P.O. Address: SANTA MONICA, CALIFORNIA 90402

Applicant:

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON;

SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON

Serial No.:

09/708,235

Filed:

NOVEMBER 7, 2000

Title:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group:

Examiner:

Docket No.

GOL5121.11A

Full name of eighth joint inventor, if any: RICHARD WILSON

Inventor's Signature: Country of Citizenship: UNITED STATES

Residence:

SAN DIMAS, CALIFORNIA 91773

P.O. Address:

209 WEST 5TH STREET

SAN DIMAS, CALIFORNIA 91773

PATENT

# **EXHIBIT D**

Attorney Docket No. GOL5121.11A

Applicant:

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON;

SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON

Serial No.:

09/708,235

Filed:

NOVEMBER 7, 2000

Title:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group: Examiner:

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b))-INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for the purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in

[] the s	specification file	d herewith, with the title listed a	bove.	
[X] the	application ider	ntified above.	4	
[] the	patent identified	above.		
or law to assign classified as an concern which	n, grant, convey independent in would not qualif	or license, any rights in the inveventor under 37 CFR 1.9(c) if the	and I am under no obligation under contra ention to any person who could not be nat person had made the invention, or to under 37 CFR 1.9(d) or to any organizati R 1.9(e).	any
•	oligation under o	_	assigned, granted, conveyed, or license convey, or license any rights in the	d or
		oncern, or organization or organizations listed below <sup>1</sup>		
NAME: ADDRESS:				
	[] Individual	[] Small Business Concern	[] Nonprofit Organization	

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

Separate verified statements are required from each named person, concern or organization having rights to an invention averring to their status as small entities (37 CFR 1.27).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

1.	Name of Inventor:	KEVIN CALLOWAY	
<u>-:</u>			Date:
51	gnature of Inventor		
2.	Name of Inventor:	ERGON COPELAND	
			_ Date:
Si	gnature of Inventor		,
3.	Name of Inventor:	ANTHONY FRANCO	
			Date:
Si	gnature of Inventor		
4.	Name of Inventor:	ZVULUN HALFON	
			Date:
Si	gnature of inventor		
5.	Name of Inventor:	SCOTT JOHNSON	
			Date:
Si	gnature of Inventor	·	
6.	Name of Inventor:	RONALD KEWISH	
_ Si	gnature of Inventor		Date:

7. Name of Inventor:	JASON McNAMARA		
Signature of Inventor		Date:	<u>-</u>
8. Name of Inventor:	RICHARD WILSON		
Signature of Inventor		Date:	

### NOTICE TO INVENTOR(S) REGARDING ASSIGNMENT

- 1. The document you are about to sign transfers complete ownership of the subject invention(s), patent application(s) and patent(s), as well as future related inventions, to the named Assignee.
- 2. O'Banion & Ritchey LLP (including its individual attorneys) has been retained by the Assignee to prepare, file and prosecute patent applications for the subject invention(s).
- 3. O'Banion & Ritchey LLP (including its individual attorneys) does not represent you as inventor(s) as your attorney.
- 4. Because O'Banion & Ritchey LLP (including its individual attorneys) only represents the Assignee, we cannot have an attorney-client relationship with you as inventor(s) of the subject invention(s).
- 5. If you have any questions regarding your rights in the invention(s), patent application(s), patent(s) and/or future related inventions, you should consult your own attorney.

I/We acknowledge that I/we have received a copy of this Notice to Inventor(s) Regarding Assignment prior to being asked to sign the attached Assignment and that I/we have read and understand the contents hereof. I/We further acknowledge that, in executing the attached assignment, I/we have neither received nor relied upon any representation, oral or written, from O'Banion & Ritchey LLP apart from those contained in this Notice.

Date:		Inventor:	
			KEVIN CALLOWAY
Date:		Inventor:	ERGON COPELAND
Date:		Inventor:	ANTHONY FRANCO
Date:	· · · · · · · · · · · · · · · · · · ·	Inventor:	ZVULUN HALFON
Date:		Inventor:	SCOTT JOHNSON
Date:		Inventor:	RONALD KEWISH
Date:		Inventor:	JASON McNAMARA
Date:		Inventor:	RICHARD WILSON EXHIBIT E

Attorney Docket No.: GOL5121.11A

### **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Name:

**KEVIN CALLOWAY** 

Address:

6115 ORANGE STREET #1 LOS ANGELES, CA 90048

Nationality:

**UNITED STATES** 

Name:

**ERGON COPELAND** 

Address:

5520 OWENSMOUTH AVENUE #116

WOODLAND HILLS, CA 91367

Nationality:

**UNITED STATES** 

Name: Address: ANTHONY FRANCO 1346 VIA DEL RIO

**CORONA, CA 91720** 

Nationality:

UNITED STATES

Name:

**ZVULUN HALFON** 

Address:

22710 OXNARD STREET

WOODLAND HILLS, CA 91367

Nationality:

**UNITED STATES** 

Name: Address: SCOTT JOHNSON 717 33<sup>RD</sup> STREET #304

SAN PEDRO, CA 90371

Nationality:

**UNITED STATES** 

Name:

RONALD KEWISH

Address:

1334 NORTH PARKHURST STREET

SIMI VALLEY, CA 93065

Nationality:

**UNITED STATES** 

Name:

JASON McNAMARA 473 16<sup>TH</sup> STREET

Address:

SANTA MONICA, CA 90402

Nationality:

**UNITED STATES** 

Name:

RICHARD WILSON

Address:

209 WEST 5<sup>TH</sup> STREET SAN DIMAS, CA 91773

Nationality:

**UNITED STATES** 

**EXHIBIT F** 

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE:

Name:

DYNAMICS DIRECT, INC.

Address:

22736 VANOWEN STREET, SUITE 101

WEST HILLS, CA 91507

and the successors, assigns and legal representatives of the ASSIGNEE\_the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

#### MULTIMEDIA MESSAGING METHOD AND SYSTEM

and which is found in

(a)	[]	U.S. provisional patent application filed herewith and listing the above named person(s as inventor(s).
(b)	[]	U.S. patent application filed herewith listing the above named person(s) as inventor(s).
(c)	[X]	U.S. patent application serial no. <u>09/708,235</u> , filed on <u>NOVEMBER 7, 2000</u> .
(d)	[]	U.S. Patent No.:, issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE.

Date:		Inventor:	KEVIN CALLOWAY
Date:		Inventor:	ERGON COPELAND
Date:		Inventor:	ANTHONY FRANCO
Date:	<del></del>	Inventor:	ZVULUN HALFON
Date:		Inventor:	SCOTT JOHNSON
Date:		Inventor:	RONALD KEWISH
Date:		Inventor:	JASON McNAMARA
Date:	·	Inventor:	RICHARD WILSON

Attorney Docket No. GOL5121.11A

Applicant:

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON;

SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON

Serial No.:

09/708,235

Filed:

NOVEMBER 7, 2000

Title:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group: Examiner:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I am

[] the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN:

DYNAMICS DIRECT, INC.

ADDRESS OF CONCERN:

22736 VANOWEN STREET, SUITE 101

WEST HILLS, CA 91507

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention described in

[] the specification filed herewith, with the titled listed a
---

[X] the application identified above.

**EXHIBIT G** 

[] the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below<sup>1</sup> and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

business concer	it person made the invention in the invention of the inve	ention, or by any concert or by any organization v	n which would not qualify as a which would not qualify as a	a small nonprofit
NAME: ADDRESS:				
· .	[] Individual [] Sma	all Business Concern	[] Nonprofit Organization	
resulting in loss of the issue fee or a	of entitlement to small (	entity status prior to payi	ent, notification of any changeing, or at the time of paying, the status as a small business	the earliest of
made on informathe knowledge the or both, under §	ation and belief are belinat willful false stateme 1001 of Title 18 of the Ualidity of the Ualidity of the application	eved to be true; and furt nts and the like so made Jnited States Code, and	own knowledge are and that her that these statements we are punishable by fine or im that such willful false statem reon, or any patent to which t	ere made with oprisonment, ents may
Dated:	·			
NAME OF PERS TITLE (OTHER ADDRESS	<del>_</del>	RUSSELL T. GILLAM CHIEF EXECUTIVE ( 22736 VANOWEN ST WEST HILLS, CA 91	OFFICER TREET, SUITE 101	
SIGNATURE				

	Docket No.: GOL5121.11A
Applicant:	KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON; SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON
Serial No.:	09/708,235
Filed:	NOVEMBER 7, 2000
Title: Group:	MULTIMEDIA MESSAGING METHOD AND SYSTEM
Examiner:	
	POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71
Dear Sir:	
The un appoints	dersigned assignee of the entire interest in the above-identified subject application hereby
	John P. O'Banion, Reg. No. 33,201
Trademark Offi	to prosecute this application and to transact all business in the United States Patent and ce connected therewith, said appointment to be to the exclusion of the inventors and their ccordance with the provisions of 37 C.F.R. 3.71.
An ass	ignment of the entire interest in the above-identified subject application:
. []	was recorded on at reel/frame
[X]	is submitted herewith for recording.
Please relative to said	direct all telephone calls to John P. O'Banion at (916) 498-1010 and all correspondence application to the following address:
	John P. O'Banion, Esq. O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814
Dated:	·
ASSIGNEE:	DYNAMICS DIRECT, INC.
Signature:	
Typed Name:	RUSSELL T. GILLAM CHIEF EXECUTIVE OFFICER 22736 VANOWEN STREET, SUITE 101

WEST HILLS, CA 91507

**EXHIBIT H** 

### **PATENT**

Docket No.: GOL5121.11A

Applicant:

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO; ZVULUN HALFON;

SCOTT JOHNSON; RONALD KEWISH; JASON McNAMARA; RICHARD WILSON

Serial No.:

09/702,835

Filed:

**NOVEMBER 7, 2000** 

Title:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group:

Examiner:

### ASSIGNEE CERTIFICATION UNDER 37 C.F.R. 3.73(b)

In accordance with 37 C.F.R. 3.73(b), the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

Dated:	
ASSIGNEE:	DYNAMICS DIRECT, INC.
Signature:	
Typed Name:	RUSSELL T. GILLAM CHIEF EXECUTIVE OFFICER 22736 VANOWEN STREET, SUITE 101

WEST HILLS, CA 91507

**EXHIBIT I** 

INTELLECTUAL PROPERTY ATTORNEYS

Wells Fargo Center 400 Capitol Mall, Suite 1550 Sacramento, California 95814 Tel. (916) 498-1010 Fax (916) 498-1074

John P. O'Banion
Patent Attorney

E-Mail jpo@intellectual.com

Internet www.intellectual.com

May 15, 2001

Mr. Ergon Copeland 5520 Owensmouth Avenue #116 Woodland Hills, CA 91367

### VIA CERTIFIED MAIL

Re:

U.S. Patent Application

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Inventors:

Kevin Calloway; Ergon Copeland; Anthony Franco; Zvulun

Halfon; Scott Johnson; Ronald Kewish; Jason McNamara; Richard

Wilson

Ser. No.:

09/796,993-

Filed:

November 7, 2000

Our File:

GOL5121.11A

Dear Mr. Copeland:

### **URGENT - TIME SENSITIVE TRANSMITTAL**

In order to complete the formal filing requirements for this patent application, there are a number of documents which now need to be submitted to the United States Patent and Trademark Office.

- 1. Combined Declaration and Power of Attorney;
- 2. Notice to Inventor(s) Regarding Assignment and;
- 3. Assignment.

# **EXHIBIT J**

May 15, 2001 Page 2

Please note that the Combined Declaration and Power of Attorney requires each inventor to certify that he has read the patent application, and believes that he is an inventor of the subject matter of the application. Therefore, please make sure that you review the application completely prior to signing these documents.

# THE DEADLINE FOR FILING THESE DOCUMENTS AND PAYING THE GOVERNMENT FEES IS JUNE 18, 2001.

In the event that any of the inventors discover an error in the application of a minor or typographical nature in the application, the correction of which would be obvious, inherent or implied from the remainder of the application, please bring them to my attention. I can then file a Preliminary Amendment to the application to make the necessary changes. Please note, however, that we are not able to add new matter to the application. Therefore, if the invention is improved or new techniques are developed, it will be necessary for a Continuation-in-Part application to be filed.

Lastly, the inventor and the patent attorney are required to disclose information to the United States Patent and Trademark Office which could be considered material to the determination of issuing a patent. This includes publications, patents, and other literature. If any such information exists, including prior business activities, please provide that information to me as soon as possible. Failure to disclosure information which is considered material to examination of the patent application could invalidate a patent. Additionally, please provide copies of all publications that are identified as background art in the patent application.

Thank you again for your assistance with the preparation of this application, and please let me know if you have any questions.

Best regards.

Sincerely,

O'BANION & RITCHEY LLP

John P. O'Banion

Enclosure

cc:

Raphael Moore, Esq. Dynamics Direct, Inc.

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul> <li>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>Print your name and address on the reverse so that we can return the card to you.</li> <li>Attach this card to the back of the mailpiece, or on the front if space permits.</li> <li>Article Addressed to:</li> </ul>	A. Received by (Please Print Cleady). B. Date of Delivery.  C. Signature  X
Mr. Ergon Copeland 5520 Owensmouth Avenue #116 Woodland Hills, CA 91367	☐ Insured Mail ☐ C.O.D.
O Article Number (Caput from caputae Inhall	4. Restricted Delivery? (Extra Fee) Yes
2. Article Number (Copy from service label) 7099 - 3400 -0017-4916-	-9003
PS Form 3811, July 1999 Domestic Retu	urn Receipt GOL 5/2///A 102595-00-M-0952

# **EXHIBIT K**

	U.S. Postal S CERTIFIED (Domestic Mail O	MAIL REC	EIPT Coverage Provided)
9003			
_1	Postage	s 1,18	5/15/2001
ዛዋጔዜ	Certified Fee	1.90	Postmark
	Return Receipt Fee (Endorsement Required)	1,50	Here
7,00	Restricted Delivery Fee (Endorsement Required)		
3400	Total Postage & Fees	\$ 4.58	
3 t	Recipient's Name (Pleas	an Cope	land
E-	Street, Apt. No.: or PO 8	OX NO.	oth Ave. #116
7099	City, State, ZIP+4	a Hills, C.	A 9/36 7 See Reverse for Instructions
1	PS Form 3800, February	2000	See neverse for instructions.

**EXHIBIT L** 

SENDER: COMPLETE THIS SECTION  Complete items 1, 2, and 3. Also complete item 4 if Restricted Politics	COMPLETE THIS SECTION ON DELIVERY
Print your name and address and	A. Received by (Please Print Clearly) B. Date of Deliv
so that we can return the card to you.	C. Signature 80 (e-1-0)
Attach this card to the back of the mailpiece or on the front if space permits.	11 x \( \sigma_0 \) \( \sigma_1 \)
. Article Addressed to:	THE THE PARTY OF T
io.	If YES, enter dollings and term 1? Yes
	11 1110
	1 4481 RIBBON CT
Mr. Richard Wilson	LIVERAND CO 30537
209 West 5 <sup>th</sup> Street	3. Service Type
San Dimes OA Street	Certified Mail
San Dimas, CA 91773	☐ Registered ☐ Return Receipt for Merchandis ☐ C.O.D.
Article Number (Caputa	4. Restricted Delivery? /Fyton Facility
Article Number (Copy from service label) 7099 — 3 400 — 0017	Yes
7099 — 3 400 — 0017 — 49/6 Form 3811, July 1999	-8976

**EXHIBIT M** 

	U.S. Postal S CERTIFIED (Domestic Mail O	Service MAIL REC nly; No Insurance (	E <b>IPT</b> Coverage Provided)
유무구ト			
77.	Postage	s 1.18	5/15/2001
	Certified Fee	1,90	Postmark
<u>~</u>	Return Receipt Fee (Endorsement Required)	1,50	Here
0017	Restricted Delivery Fee (Endorsement Required)		
00	Total Postage & Fees	\$ 4.58	
Ŧ	Recipient's Name (Pleas	e Print Clearly) (to be comp	oleted by mailer)
<u></u>	Street, Apt. No.; or POB	ox No. West 544	St,
709	City, State, ZIP+4	Dimas, C	9 9 1 7 7 3
	PS Form 3800, February	2000	See Reverse for Instructions

**EXHIBIT N** 

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul> <li>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>Print your name and address on the reverse so that we can return the card to you.</li> <li>Attach this card to the back of the mailpiece, or on the front if space permits.</li> <li>Article Addressed to:</li> </ul>	A. Received by (Please Print Clearly)  B. Date of Delivery  C. Signature  Addressee  Addressee  Por delivery address different from item 1?  Yes  If ES, enter delivery address below:
Mr. Anthony Franco 1346 Via Del Rio Corona, CA 91720  ticle Number (Copy from service label) 7099-3+00-0017-4916-90	3. Service Type  Certified Mail
	Irn Receipt GOL 5/2/, I/A 102595-00-M-0952

**EXHIBIT O** 

	U.S. Postal Service CERTIFIED MAIL RECEIPT (Domestic Mail Only, No Insurance Coverage Provided)		
4034			
976	Postage	s 1.18	5/15/2001
<u></u>	Certified Fee	1,90	Postmark
<u></u>	Return Receipt Fee (Endorsement Required)	1.50	Here
001.7	Restricted Delivery Fee (Endorsement Required)		
	Total Postage & Fees	\$ 458	
34	Recipient's Name (Pleas	e Print Clearly) (to be	completed by mailer)
	Street, Apt. No.; or PO B	ia Del	Rió
709	City, State, ZIP+4	CA	91720
1	PS Form 3800, February	2000	See Reverse for Instruction

**EXHIBIT P** 

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul> <li>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>Print your name and address on the revers so that we can return the card to you.</li> <li>Attach this card to the back of the mailpied or on the front if space permits.</li> <li>Article Addressed to:</li> </ul>	A. Received by (Please Print Clearly)  B. Date of Delivered
Mr. Zvulun Halfon	Service Control of the Control of th
	3. Service Type
22710 Oxnard Street Woodland Hills, CA 91367	Certified Mail
Article Number (Copy from service label)	4. Restricted Delivery? (Extra Fee)
	1916-7525
Form 3811 July 1000	tic Return Receipt

**EXHIBIT Q** 

		MAIL REC	CEIPT Coverage Provided)
7535			
ㅁ	Postage	\$ 1.18	5/15/2001
44774	Certified Fee	1.90	Postmark
۲-	Return Receipt Fee (Endorsement Required)	1.50	Here
7.00	Restricted Delivery Fee (Endorsement Required)		
3400	Total Postage & Fees	\$ 4.58	
m T	Recipient's Name (Pleas	e Print Clearly) (to be co	mpleted by mailer)
-	Street Ant No or PO B		1
7099	City, State, ZIP+4 Wood land	e Hills, C	A 9136.7
1	PS Form 3800, February		See Reverse for Instruction

**EXHIBIT** R

SENDER: COMPLETE THIS SECTION	
<ul> <li>Complete items 1, 2, and 3. Also comitem 4 if Restricted Delivery is desired Print your name and address on the most so that we can return the card to you.</li> <li>Attach this card to the back of the material or on the front if space permits.</li> <li>Article Addressed to:</li> </ul>	A. Received by (Please Print Clearly) B. Date of Delieverse
Mr. Ronald Kewish 1334 North Parkhurst St Simi Valley, CA 93065	3. Service Type  Certified Mail
Article Number (Copy from service label)	, 4. Restricted Delivery? (Extra Fee)

**EXHIBIT S** 

0,0	U.S. Postal Sarvice CERVIFIED MAIL RECEIPT (Pomestic Mell Only, No Insurance Coverage Provided)			
90706				
	Postage	s 1.18	5/15/2001	
4916	Certified Fee	1,90	Postmark	
<u></u>	Return Receipt Fee (Endorsement Required)	1.50	Here	
.100	Restricted Delivery Fee (Endorsement Required)	4,58		
00	Total Postage & Fees	\$		
Ξ	Recipient's Name (Please Print Clearly) (to be completed by mailer)			
ш.	Street, Apt. No. or PO Box No. Park hust St.			
709	Signi Valley CA 9365			
į	PS Form \$300, February	2000	See Reverse for historique	

EXHIBIT T

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul> <li>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>Print your name and address on the reverse so that we can return the card to you.</li> <li>Attach this card to the back of the mailpiece, or on the front if space permits.</li> </ul>	A. Received by (Please Raint Clearly)  B. Date of Delivery  C. Signature  Addressed  Addressed  D. Is delivery address different from item 1?  Yes  No
1. Article Addressed to:	If YES, enter delivery address below:
Mr. Scott Johnson 717 33 <sup>rd</sup> Street, #304	3. Service Type  Certified Mail  Registered  Return Receipt for Merchandi
San Pedro, CA 90371	4. Restricted Delivery? (Extra Fee)
cle Number (Copy from service label) *099-3400-0017-4916-9	027
3811, July 1999 Domestic	Return Receipt 60L 5/2/.//

# **EXHIBIT U**

	U.S. Postal S CERTIFIED (Domestic Mail O	MAIL REC	<b>EIPT</b> Coverage Provided)	
9027				
_0_	Postage	\$ 1.18	5/15/2001	
4976	Certified Fee	1.90	Postmark	
<b>r</b> ~	Return Receipt Fee (Endorsement Required)	1,50	Here	
7.00	Restricted Delivery Fee (Endorsement Required)			
00	Total Postage & Fees	\$ 4.58		
표	Recipient's Name (Pleas	e Print Clearly) (to be comp	oleted by mailer)	
E.	Street, Apt. No.; or PO Box No. 32. Q St., #30r			
709	City, State ZIP+4 San Petroz CA 90371			
1	PS Form:3800, February	2000	See Reverse for Instructions	

**EXHIBIT V** 

7.4				
CERTIFICATE OF	MAILING BY FIRST CLAS	SS MAIL (37 CFR 1.8)		Docket No.
Applicant(s): KEVIN C	ALLOWAY ET AL.			GOL5121.11A
Serial No.	Filing Date	Examiner		Group Art Unit
09/796,933	NOVEMBER 7, 2000			
Invention:				
MULTIMEDIA MESSAG	GING METHOD AND SYSTEM			
PATER JUN 20 M	n			19
A DEWARK OF	refe			
I hereby certify that thi	is Statement of Facts (Page 1 thr	ru 6 with Exhibits A thru V) (Identify type of correspondence)	·	
is being deposited wit	th the United States Postal Serv	rice as first class mail in an e	envelop	e addressed to: The
Assistant Commission	er for Patents, Washington, D.C.	20231 on	61	
		/ (Dat	te)	
		JOHN P. O'BA	ANION	I
		(Typed or Printed Name of Person N	Mailing C	orrespondence)
		Signature of Person Mailing	Carreen	andanaa)
		(Signame of Leison Maning	Corresp	·
				,
				·
	Note: Each paper must hav	ve its own certificate of mailing.		



PATENT

Applicant:

KEVIN CALLOWAY; ERGON COPELAND; ANTHONY FRANCO;

ZVULUN HALFON; SCOTT JOHNSON; RONALD KEWISH;

JASON McNAMARA; RICHARD WILSON 09/796,993 09/708235
NOVEMBER 7, 2000

Serial No.: Filed:

MULTIMEDIA MESSAGING METHOD AND SYSTEM

Group:

Title:

Examiner:

Docket No.

GOL5121.11A

RECEIVED

JUN 2 2 2001

OFFICE OF PETITIONS DEPUTY A/C PATENTS

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NONSIGNING INVENTOR (37 C.F.R. § 1.47)

This statement as to the pertinent facts concerning the refusal of the nonsigning inventor to join in the application or where the omitted inventor cannot be found or reached must accompany the declaration signed on behalf of the omitted inventor by a joint inventor or by a legal representative who shows a proprietary interest. Where the entity with a proprietary interest executes the declaration on behalf of the omitted inventor there must also be a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damage. 37 C.F.R. §§ 1.47(a) and (b).

This statement is made as to the exact facts that are relied upon to establish the diligent effort made to secure the execution of the declaration by the nonsigning inventor for the aboveidentified patent application before the deposit thereof in the Patent and Trademark Office.

This statement is being made by the available person having first-hand knowledge of the facts recited therein

The statement "must be signed, where at all possible, by a person having first-hand knowledge of the facts recited therein." M.P.E.P. § 409.03(d), 7th ed. If different persons have first-hand knowledge of different facts, then a declaration from each such person as to those facts he or she knows should be submitted separately.

NOTE: Copies of documentary evidence, such as certified mail return receipt, cover letter of instructions, telegrams, etc., that support a finding that the nonsigning inventor could not be reached should be made part of the affidavit or declaration. It is important that the affidavit or declaration contain statements of fact as opposed to conclusions. M.P.E.P. § 409.03(d), 7th ed.

#### I. **IDENTIFICATION OF PERSON MAKING THIS STATEMENT OF FACTS**

Name:

Raphael Moore, Esq.

Address:

Phoenix House 519 F Street Davis, CA 95616

06/21/2001 CNGUYEN 00000133 09708235

05 FC:122

130.00 OP

II. EFFORTS MADE DURING CONVENTION YEAR TO OBTAIN INVENTOR'S SIGNATURE

### III. LAST KNOWN ADDRESS OF THE NONSIGNING INVENTORS

Full name of first joint nonsigning inventor:

Country of Citizenship of nonsigning inventor:

Last known address of nonsigning inventor:

**ERGON COPELAND** 

UNITED STATES

5520 OWENSMOUTH AVENUE #116

WOODLAND HILLS, CA 91367

Full name of second joint nonsigning inventor:

Country of Citizenship of nonsigning inventor:

Last known address of nonsigning inventor:

**ANTHONY FRANCO** 

**UNITED STATES** 

1346 VIA DEL RIO

**CORONA, CA 91720** 

Full name of third joint nonsigning inventor:

Country of Citizenship of nonsigning inventor:

Last known address of nonsigning inventor:

**ZVULUN HALFON** 

**UNITED STATES** 

22710 OXNARD STREET

WOODLAND HILLS, CA 91367

Full name of fourth joint nonsigning inventor:

Country of Citizenship of nonsigning inventor:

Last known address of nonsigning inventor:

SCOTT JOHNSON

**UNITED STATES** 

717 33<sup>RD</sup> STREET #304

SAN PEDRO, CA 90371

Full name of fifth joint nonsigning inventor:

Country of Citizenship of nonsigning inventor:

Last known address of nonsigning inventor:

**RONALD KEWISH** 

**UNITED STATES** 

4420 FOOTHILLS DRIVE

LOVELAND, CO 80537

Full name of sixth joint nonsigning inventor:

Country of Citizenship of nonsigning inventor:

Last known address of nonsigning inventor:

**RICHARD WILSON** 

**UNITED STATES** 

4481 RIBBON COURT

LOVELAND, CO 80537

## IV. DETAILS OF EFFORTS TO REACH NONSIGNING INVENTORS

NOTE: Complete either these facts or the facts as to refusal of nonsigning inventors to sign application papers.

NOTE: In addition to a recitation of these efforts, which must have been made before the application was deposited in PTO, copies of documentary evidence such as letters, telegrams, responses, etc. that support a finding that a nonsigning inventor could not be found or reached should, if available, be made part of the declaration. It is important that the affidavit or declaration contain statements of fact as opposed to conclusions. M.P.E.P. § 409.03(d), 7<sup>th</sup> ed.

On December 13, 2000, I forwarded a copy of the patent application, combined declaration and power of attorney, verified statement claiming small entity status-inventor, notice to inventor(s) regarding assignment, and assignment to each of the inventors at their individual addresses.

On December 19, 2000, I telephoned each of the inventors and requested their signatures on the documents provided by O'Banion & Ritchey (copy of patent application, combined declaration and power of attorney, verified statement claiming small entity status-inventor, notice to inventor(s) regarding assignment, and assignment to Dynamics Direct, Inc.)either in person or by leaving a message to that effect.

On January 15, 2001, I telephoned or attempted to reach by telephone each of the inventors and requested their signatures on the documents provided by O'Banion & Ritchey (combined declaration and power of attorney, verified statement claiming small entity status-inventor, notice to inventor(s) regarding assignment, and assignment to Dynamics Direct, Inc.)either in person or by leaving a message to that effect.

On April 9, 2001, I telephoned or attempted to reach by telephone each of the inventors and requested their signatures on the documents provided by O'Banion & Ritchey (combined declaration and power of attorney, verified statement claiming small entity status-inventor, notice to inventor(s) regarding assignment, and assignment to Dynamics Direct, Inc.)either in person or by leaving a message to that effect.

# V. DETAILS OF REFUSAL OF NONSIGNING INVENTORS TO SIGN APPLICATION PAPERS

NOTE: Complete either these facts or the facts as to DETAILS OF EFFORST TO REACH NONSIGNING INVENTORS.

NOTE: The circumstances of this refusal must be specified by the person to whom the refusal was made and, before a refusal can be alleged, it must be demonstrated that a bona fide attempt was made to present a copy of the application papers (specification, including claims, drawings and declaration) to the nonsigning inventors for signature. A copy of the application papers should be sent to the last known address of the nonsigning inventors, or, if the nonsigning inventor is represented by counsel, to the address of the nonsigning inventors' attorney. The time and place of an oral refusal should be stated, or a copy of the written refusal should be attached.

If it is the conduct as a whole of the nonsigning inventors that is the refusal, then all the facts upon which this conclusion is based should be stated and a copy of any documentary evidence supporting these facts should be attached.

Where there is an express oral refusal, that fact along with the time and place of the refusal must be stated in the affidavit or declaration. When there is an express written refusal, a copy of the document evidencing that refusal must be made part of the affidavit or declaration.

Whenever the nonsigning inventors gives a reason for refusing to sign the application papers, that reason should be stated. M.P.E.P. § 409.03(d), 7<sup>th</sup> ed.

ALL OF THE REFUSING INVENTORS HAVE BEEN TERMINATED FROM EMPLOYMENT WITH THE ASSIGNEE (DYNAMICS DIRECT, INC.). ON EACH PAST OCCASION OUTLINED ON PREVIOUS PAGE OF THIS STATEMENT, THE CONTACTED INVENTORS HAVE REFUSED TO SIGN THE DOCUMENTS (COMBINATION DECLARATION AND POWER OF ATTORNEY, NOTICE TO INVENTOR(S) REGARDING ASSIGNMENT, AND ASSIGNMENT.

THE CONDUCT AS A WHOLE OF THE NONSIGNING INVENTORS IS THE REFUSAL. TO DATE NONE OF THE DOCUMENTS FORWARDED TO THE INVENTORS HAVE BEEN RETURNED WITH SIGNATURE OR SIGNATURES.

# VI. PROOF OF NEED TO PREVENT IRREPARABLE DAMAGE OR PRESERVE THE RIGHTS OF THE PARTIES

NOTE: This proof must be presented where the declaration is signed by a person with sufficient Proprietary interest for the nonsigning inventor, but is not a requirement when the person signing for The nonsigning inventor is a joint inventor. (37 C.F.R. § 1.47(a)).

Dated: 6./.0/

Raphael Moore